

DECISION MAKING FOR DEVICE CLOSURE IN ADULTS WITH 'HYPERTENSIVE PDA'-NON-INVASIVE CRITERIA: IMMEDIATE RESULTS

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ABSTRACT

Objective: To find the mean pulmonary artery pressures (PAP) in adults (>12 years) Patent Ductus Arteriosus (PDA) with 'reversible pulmonary hypertension' after the device closure. Residual PDA and immediate complications (embolization, pulse loss, obstruction in the aorta or pulmonary artery) are to be reported.

Study Design: Retrospective study.

Place and Duration of Study: Tertiary Care Referral Hospital, from Aug 2007 to Jun 2020.

Methodology: This retrospective study is descriptive. Data collected by convenience sampling from 3 tertiary care referral hospital. 981 patients were undergone PDA device closure during the period from Aug 2007 to June 2020. After informed consent, an initial assessment was done by history, clinical examination, x-ray chest PA view, electrocardiography (ECG), and transthoracic echocardiography (TTE). Reversible pulmonary hypertension was labeled based on non-invasive criteria including room air saturation >93% and cardiomegaly on x-ray chest. Patients who had <93% and normal heart size on x-ray chest were excluded. The lower limit for age was 12 years.

Results: Nine Hundred Eighty One patients had undergone PDA device closure. 32 (n=32/981 3.3%) had fulfilled our inclusion criteria. The mean age was 22 ± 9 (13–45) years. Mean weight was 41 ± 11 (25–66) kg. Successful device closure was done in 30 patients (93.7%). Mean diameter of PDA was 7 ± 0.1 (4.5–13 mm). Mean PAP decreased from 59 ± 13 mmHg to 38 ± 19 mmHg ($p < 0.05$). Commonest device used was Shasma duct occluder (n=16/32 50%) followed by Occlutech Duct Occluder (n=7/32 21.9%), while 2 had muscular VSD device (n=5/32 15.6%). In 2 patients, there was an underestimation of the size of PDA so the device was retrieved and replaced with another larger one successfully. Two patients had the device fully dropped into the main pulmonary artery before it was released. Larger size device was not available at that time so the patients were referred for surgery. None of our patients had device embolization or residual shunt on echo performed next day to the procedure. Neither any patient had residual pulmonary hypertension on echocardiography. There was no significant obstruction in the aorta in any patients. Two patients had mild left pulmonary artery obstruction. There was no significant obstruction in the aorta in any of our patients. There was pulse loss in 3 patients which were treated successfully with heparin infusion with no residual damage.

Conclusion: Device closure is a feasible option in adults with hypertensive PDA while the decision of reversibility is based on non-invasive criteria.

Keywords: Adult congenital heart diseases, Congenital heart disease, Hypertensive PDA, PDA device closure, pulmonary hypertension.

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INTRODUCTION

Isolated patent ductus arteriosus (PDA) has an incidence of 10%. Generally, it is closed by either by surgery or by the device. Untreated large size PDA can lead to heart failure or pulmonary hypertension. Developing countries have limited economic and health care facilities. Pov-

erty, low literacy rate and late referral by the primary physician are contributing factors for delayed treatment. This contributes to morbidity and mortality for patients with congenital heart diseases. Untreated PDA lead to the development of pulmonary hypertension. This is progressive especially after the age of 2 years. However small no of these patients may not develop the pulmonary vascular obstructive disease even in 2nd or 3rd decade of life.

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Due to large PDA, the pulmonary vascular bed is exposed to high pressure. This long-standing left to right shunt results in a progressive increase of pulmonary vascular resistance (PVR). When PVR exceeds systemic vascular resistance (SVR) shunting is reversed and becomes right to left, leading to Eisenmenger complex. It is important to decide, whether pulmonary hypertension is reversible or irreversible. Conventional methods include inhalation of 100% oxygen or balloon occlusion of PDA. Balloon occlusion and pulmonary vasodilator challenge have cost implications in resource-limited setup.

'Adults PDA' develop calcification and aneurysmal dilatation with age. This leads to increased risk for surgical ligation in adults. Previous endarteritis, unusual anatomic features, and recurrent ductus are additional risk factors for surgery^{1,2}. Device closure of PDA is now well established³. One strategy is to proceed on 'fast track' with device closure based on non-invasive criteria. This interventional therapy in adults using device closure can play a key role to prevent progression toward irreversible pulmonary hypertension. Previously no data is reported in local literature for adults with hypertensive PDA using this 'fast track' strategy. The decision of reversibility is based on non-invasive methods.

METHODOLOGY

This retrospective study is descriptive. Data collected by convenience sampling from 3 tertiary care referral hospitals (Multan Institute of Cardiology, Rawalpindi Institute of Cardiology and Cardiac Center, Bahawalpur). Ethics committees of the respective hospitals granted permission. 981 patients were undergone PDA device closure during the period from Aug 2007 to June 2020. After informed consent, an initial assessment was done by history, clinical examination, x-ray chest PA view, electro-cardiography (ECG), and transthoracic echocardiography (TTE). Reversible pulmonary hypertension was labeled based on non-invasive criteria including room air saturation >93% and cardiomegaly on x-ray chest. Patients who had <93% and normal heart size on x-ray

chest were excluded. The lower limit for age was 12 years. This non-invasive criterion was used instead of pulmonary vascular resistance (PVR) before and after 100% inhalation or balloon occlusion test. This had economic implications in a resource-limited setup like ours. So we proceeded with device closure using 'fast track' strategy for decision making about reversibility. Echocardiography was performed before and after the procedure. Size of PDA, pressure gradient and pulmonary artery pressures along with diastolic equalization pressures as per guidelines by the American Society of Echocardiography⁴, are documented. Color flow mapping was used to assess the direction of the shunt. Tricuspid regurgitation (TR) jet is used to assess systolic pulmonary artery pressures. Other factors (additional shunt lesion, left ventricular dysfunction, mitral valve abnormality, pulmonary venous stenosis) were ruled out. Mean pulmonary artery pressure >50% of mean aortic pressures was defined as pulmonary hypertension. 32 patients with age >12 years with evidence of large PDA with reversible pulmonary hypertension were included in the study.

Devices were implanted using the antero-grade approach after the femoral artery and femora venous access taken using local anesthesia and sedation. Heparin was used for anticoagulation (50-100 units/kg). Full lateral and 30 RAO angiograms delineated the size and shape of the ductus. PDA occlusion device was inserted through appropriately sized sheaths using standard techniques⁵. Mean pulmonary artery and mean aortic pressures were recorded in the catheterization laboratory. The device size was selected having 2-4mm larger pulmonary end than the narrowest portion of PDA. The devices used were based upon availability, device size and its shape, and PDA shape along with the operator's choice.

Amplatzer ductoccluders (ADO I) (AGA Medical, MN, USA) and Amplatzer muscular ventricular septal defect occluders (AMVSDO) (AGA Medical, MN, USA).

Shasma duct occluder (Shanghai Shape Memory Alloy SHSMA, Shanghai, China). Occlu-

tech duct occluder (ODO) (Occlutech International AB, Sweden). Cera PDA occluder (Lifetech Scientific, Shenzhen Co, Ltd.

Mean aortic (AO) and pulmonary artery pressures (PAP) were noted in room air and post 10 min of oxygen inhalation using venture mask (60%). Balloon occlusion was done where ever appropriate size balloon was available using

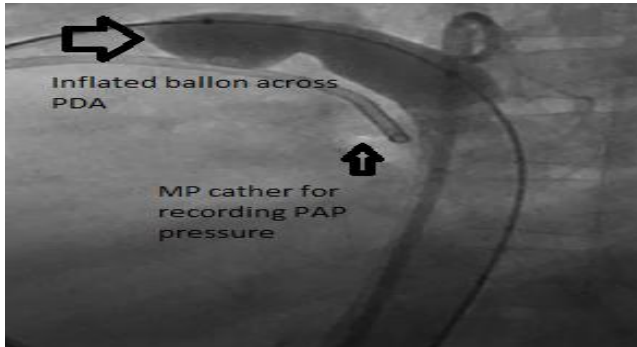


Figure-1: Balloon Occlusion Test.

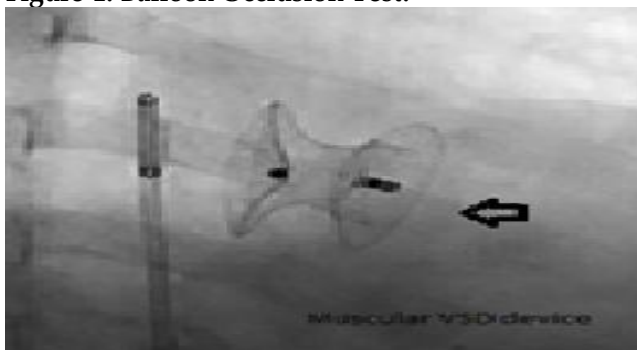


Figure-2: Muscular VSD device in the position.

Osypka balloon VACS II/III balloon (Osypka AG, Rheinfelden, Germany) via 2nd femoral venous access (fig-1). If mean PAP reduced by >20%, pulmonary hypertension was labeled to be reversible. After insertion of the device, aortogram was done to look for any residual PDA (fig-2-4). All pulses were checked to evaluate any pulse loss. In the case of pulse loss, heparin infusion was started until the pulse became palpable. On 1st post procedure day, echocardiography was done to evaluate any residual PDA, estimate the mean PAP and protrusion of PDA device into aorta or the pulmonary artery. Patients who had residual pulmonary hypertension were discharged on oral pulmonary vasodilator (oral sildenafil). Complications were noted for every patient.

Three days of antibiotic therapy was given to every patient for infection prevention.

Performa filled for every patient. Variable (age, weight, Mean Ao pressures, mean PAP before and after device closure/oxygen test/balloon occlusion test) were analyzed using SPSS

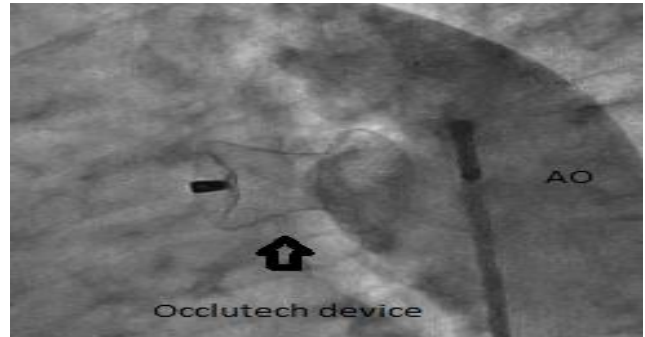


Figure-3: Occlutech Device in Position.

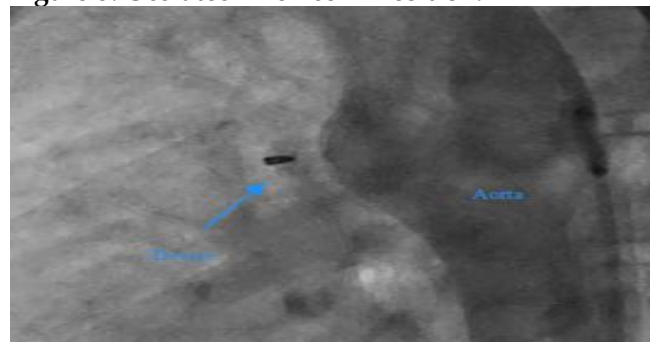


Figure-4: ADO I in the Position.

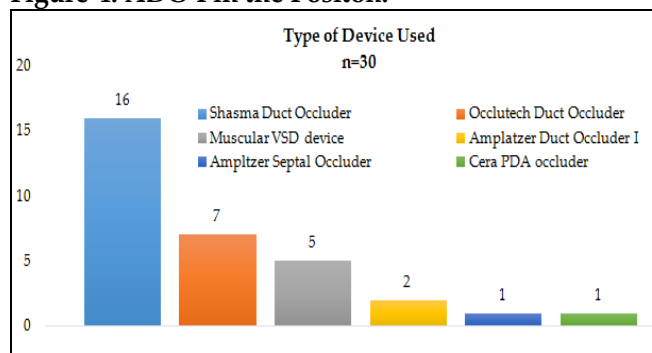


Figure-5: Devices used and numbers.

(version 24). Test of significance (p -value <0.001) was used for mean PAP before and after the procedure. Success was based on significant reduction of mean PAP after the device closure and no residual shunt.

RESULTS

This is a retrospective descriptive multicenter study from a period from 2007 to June 2020.

All three centers are tertiary care dedicated cardiac hospitals. Adult patients (>12 years) who had large PDA with pulmonary hypertension (PH) were included. Mean PAP >50% of mean aortic pressure (AO) was considered as pulmonary hypertension. The reversibility of PH was

were excluded. Patients with irreversible pulmonary hypertension were excluded. Patients whom other associated cardiac lesions which were the indicator for surgery were also excluded.

Nine hundred and eighty one patients had

Table-I: Data (n=32) there was no significant obstruction in aorta in any of our patient. there was pulse loss in 3 patients which was treated successfully with heparin infusion with no residual damage.

Parameters	
Mean Age with Standard Deviation SD (Years)	22 ± 9 (13-45) years
Mean Weight with SD (Kg)	41 ± 11 (25-66) kg
PDA Device Closure Performed	n=981
Adult Hypertensive PDA with Attempted Device Closure	32 (32/981 3.3%)
Successful Device Closure	30/32 (93.7%)
Hypertensive PDA Failed / Abandoned Attempt	2/32 (6.3%)
Mean Fluoro with SD Time (Record Available From One Center Only)	9.5 ± 3.2 minutes
Mean Procedure Time with SD (for one center)	51 ± 32 minutes

Table-II: Shows the hemodynamics (mean with SD).

Mean PA pressure with SD (pre-device)	59 ± 13 mmHg
Mean Ao pressure with SD (pre-device)	99 ± 16 mmHg
Mean PA pressure with SD (post-device)	38 ± 19 mmHg
Mean Ao pressure with SD (post-device)	89 ± 14 mmHg
PVR before/after device occlusion	Assessed in only one patient
Trial by balloon occlusion	n=4/32 (12.5%)

Table-III: Devices used successful cases (n and percentage with largest size used).

	n (%)
Shasma Duct Occluder (Shanghai Shape Memory Alloy SHSMA, Shanghai, China) - largest size use 18/16	16/30 (53.3%)
Occlutech Duct Occluder (Occlutech International AB, Sweden) Largest size used was 18/14 mm	7/30 (23.3%)
Muscular VSD device (AGA Medical, MN, USA). Largest size used was 18 mm	5/30 (16.7%)
Amplatzer Duct Occluder I (AGA Medical, MN, USA). Largest size used was 14/12 mm	2/30 (6.7%)
Ampltzter Septal Occluder (AGA Medical, MN, USA). 20 mm	1/30 (3.3%)
Cera PDA occluder (LifetechScientific, Shenzhen Co., Ltd. China. 12/10 mm used.	1/32 (3.3%)

Table-IV: Complications (n with Percentage).

Residual PDA	n (%)
Pulse loss	3 (9.3)
Referral for Surgery After Abandoned Procedure	2(6.3)
Embolized device	-
LPA obstruction (mild)	2 (6.7)
Aortic obstruction	-
Pre-device treatment with vasodilator-not known	-
Post-device treatment with vasodilator	-

based on non-invasive criteria. Patients with room air saturation >93%, cardiomegaly on chest x-ray were considered to have reversible pulmonary hypertension. Patients with age <12 years

undergone PDA device closure. Thirty two (n=32 /981 3.3%) had fulfilled our inclusion criteria. The mean age was 22 ± 9 (13-45) years. Mean weight was 41 ± 11 (25-66) kg (table-I). Successful

device closure was done in 30 patients (93.7%). The mean diameter of PDA was 7 ± 0.1 (4.5-13 mm). Mean PAP decreased from 59 ± 13 mmHg to 38 ± 19 mmHg ($p < 0.05$) (table-II). Commonest device used was Shasma duct occluder ($n=16/32$, 50%) followed by Occlutech Duct Occluder ($n=7/32$ 21.9%), while 2 had muscular VSD device ($n=5/32$, 15.6%) (table-III, Graph 1). In 2 patients, there was an under-estimation of the size of PDA so the device was retrieved and replaced with another larger one successfully. Two patients had the device fully dropped into the main pulmonary artery before it was released. Larger size device was not available at that time so the patients were referred for surgery. None of our patients had device embolization or residual shunt on echo performed next day to the procedure. Neither any patient had residual pulmonary hypertension on echocardiography. There was no significant obstruction in aorta in any patients. 2 patients had mild left pulmonary aorta obstruction (table-IV).

DISCUSSION

The diagnosis of congenital heart diseases is often delayed in developing countries. PDA is about 15% of all adult congenital heart diseases⁶. Multiple factors contribute to this phenomenon including poverty, ignorance, late diagnosis by the attending physician or cardiologist and few available cardiac centers. Training of adult cardiologists for congenital heart diseases is also questionable. Surgery is one option of treatment. But calcification and aneurysmal dilatation are surgical risk factors. Varieties of devices are now available in Pakistan but we had limited options at our hospitals. So data from others also collected.

We included adults (>12 years) with large PDA and pulmonary hypertension (defined as mean PAP >50% of mean aortic pressures). The prognosis depends on whether pulmonary hypertension is reversible or not. Balloon occlusion of PDA temporarily blocks the duct and hemodynamic can be reassessed afterward which are considered to more reliable than oxygen inhalation

tests. But the position of the balloon is to be maintained for 10 minutes during balloon occlusion test. If the balloon position is unstable, false results might be reported. 100% oxygen inhalation requires the services of anesthetists in the catheterization laboratory. However, the use of venture masks gives only 60% oxygen. So we proceeded on 'fast track' to cut down the cost and save time. This is less cumbersome than a balloon occlusion test. So protocols need to be tailored in developing countries as we did in our study. But one 'risk' was the non-reversible pulmonary hypertension even after device occlusion of the PDA. So careful assessment is necessary before the release of the device. The cost of the retrieved device would be another issue. However, we did not face this situation in any of our cases. So the selection of patients for this 'fast track' would be key to success.

Sadiq *et al*, reported severe pulmonary hypertension was persistent in four (9.7%) patients (for all age groups) at follow-up of 80 (41-151) months⁷. The same phenomena were documented in 4/43 patients at a median follow up of 80 months (range 41-151 months)⁸. But none of our patients had persistent high pressures on echo performed the next day to the procedure. Whether follow up is still needed in our cases? This question remains answerable. However, only 40% ($n=12/30$) of our patients had mean PA pressures >2/3 of mean systemic pressures. This might be another factor in the regression of mean PAP after the device closures.

Beneficial effects of pre-medication with pulmonary vasodilators like sildenafil are reported. This factor is not documented in our study⁹. Risk of device embolization increased in cases with severe pulmonary hypertension. Shapes of muscular VSD device (double disks) and Occlutech device (wider pulmonary artery end) reduces this risk. These were used 40% ($n=12/30$) of our cases. For other devices (like ADO I) in the study, we oversized by 2-4 mm than the narrow point of PDA. A similar strategy is reported by other authors as well in patients with large PDA (>4 mm)¹⁰.

Yu *et al*¹¹, reported success in 19 adult patients who had large PDA (>10 mm) with reversible pulmonary hypertension using Shasma Duct Occluder (used in 53% of our cases n=16/30). Follow up of these patients showed no residual shunt. However, the no of patients in this study had residual shunt immediately after the procedure. One recent local study reported device closure in adults (>18 years; n=25). However, they did not report mean PAP before and after the device closure¹². Zabal *et al*¹³, reported that device closure is safe and effective in 158 selected patients (with mean PAP >50 mmHg). Alkashkari *et al* reported successful implantation in 27 adult patients over a period of 10 years¹⁴. Wilson *et al* described results of 141 adult patients and 36% of the patients had pulmonary hypertension¹⁵. Sudhakar had documented immediate and sustained drop in pulmonary artery in adult patients with baseline reversible severe PAH¹⁶⁻¹⁸.

CONCLUSION

Device closure is a feasible option in adults with hypertensive PDA while the decision of reversibility is based on non-invasive criteria.

CONFLICT OF INTEREST

This study has no conflict of interest to be declared by any author.

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